

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

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| ATTN/DOCKET NO. | SERIAL NO. | FILING DATE |
| 08286.105020 | 10/601,745 | June 23, 2003 |
| APPLICANT | GROUP | |
| KENNY, John J. | 2633 | |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|----------|--------|-------|----------|-------------------------------|
| AB | AA | US 4,500,990 | 02/19/85 | Akashi | | | |
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FOREIGN PATENT DOCUMENTS

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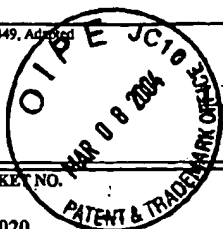
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
| AB | 9/20/04 |

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FORM PTO-1449, April 2004



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| ATTY. DOCKET NO. 08286.105020 | SERIAL NO. 10/601,745 | FILING DATE June 23, 2003 |
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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| AS | AE | 20020089725 | 07/11/02 | Farmer et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | NAME | TRANSLATION | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|----------|------------------------------|-------|----------|-------------------------------|
| <i>B</i> | AA | US 5,541,917 | 07/30/96 | FARRIS, Robert D. | — | — | |
| <i>B</i> | AB | US 5,969,836 | 10/19/99 | FOLTZER, Lawrence E. | — | — | |
| <i>B</i> | AC | US 5,572,347 | 11/05/96 | BURTON, Larry W. et al. | — | — | |
| <i>B</i> | AD | US 5,365,588 | 11/15/94 | BIANCO, Mark E. et al. | — | — | |
| <i>B</i> | AE | US 4,956,863 | 09/11/90 | GOSS, Kenneth C. | — | — | |
| <i>B</i> | AF | US 5,253,250 | 10/12/93 | SCHLAFFER, John et al. | — | — | |
| <i>B</i> | AG | US 6,336,201 | 01/01/01 | GEILE, Michael J. et al. | — | — | |
| <i>B</i> | AH | US 5,793,413 | 08/11/98 | HYLTON, Denny L. et al. | — | — | |
| <i>B</i> | AI | US 5,790,523 | 08/04/98 | RITCHIE, JR., John A. et al. | — | — | |
| <i>B</i> | AJ | US 6,674,967 | 01/06/04 | SKROBKO, John et al. | — | — | |
| <i>B</i> | AK | US 6,483,635 | 11/19/02 | WACH, Michael L. | — | — | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | NAME | TRANSLATION | |
|----------|----|-----------------|----------|---------|------------------|-------------|-----|
| | | | | | | YES | NO. |
| <i>B</i> | AQ | EP 0955739 A2 | 11/10/99 | Europe | NEC Corporation | | |
| <i>B</i> | AR | EP 0713347 A2 | 05/22/96 | Europe | AT&T Corporation | | |

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| EXAMINER <i>Bells</i> | DATE CONSIDERED <i>9/20/04</i> |
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|---------------------|----|-----------------|----------|-------------|-------|----------|-------------------------------|
| <i>AB</i> | AH | 5,572,349 | 11/05/96 | Hale et al. | | | |

OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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|-----------|----|---|--|--|--|--|--|
| <i>AB</i> | CA | BOURNE, John et al., "Heathrow - Experience and Evolution" IEEE, 1990, pages 1091-1095. | | | | | |
| <i>AB</i> | CB | MIKI, Tetsuya et al., "A Design Concept on Fiber-Optic Wavelength-Division-Multiplexing Subscriber Loop System" WTG - Fachber, 1980, pages 41-45. | | | | | |
| <i>AB</i> | CC | YAMAGUCHI, K. et al., "A Broadband Access Network Based on Optical Signal Processing: The Photonic Highway" IEEE, 1990, pages 1030-1037. | | | | | |
| <i>AB</i> | CD | International Search Report of July 7, 2003 for PCT/US01/51350 | | | | | |
| <i>AB</i> | CE | ANGELOPOULOS, J.D. et al., "A Transport Mac Method for Bandwidth Sharing and CDV Control at the ATM Layer of Passive Optical Networks" Journal of Lightwave Technology, IEEE, 1996, pages 2625-2634. | | | | | |
| <i>AB</i> | CF | International Search Report of July 2, 2003 for PCT/US03/07814 | | | | | |
| <i>AB</i> | CG | International Search Report of October 3, 2003 for PCT/US03/12231 | | | | | |
| <i>AB</i> | CH | CICIORA, Walter S. et al., "Modern Cable Television Technology: Video, Voice, and Data Communications", ©1999, pages 162-214, Chapter 4, Morgan Kaufmann Publishers, Inc., San Francisco, California. | | | | | |

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| EXAMINER <i>Bill</i> | DATE CONSIDERED <i>9/25/04</i> |
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OTHER PRIOR ART *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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| AB | CI | GLAESEMANN, G. Scott et al., "The Mechanical Reliability of Corning® Optical Fiber in Bending White Paper", September 2002, pages 1-4 |
| AB | CJ | Corning® SMF-28™ Optical Fiber Product Information, "Corning® Single-Mode Optical Fiber", April 2002, pages 1-4. |
| AB | CK | Companie Deutsch, Components for Fiber Optics, "Triplexers - WDM: FSAN - TPM Series", pages 1-6. |
| AB | CL | CEDaily Direct News, "Today's Report", March 19, 2001, pages 1-5. |
| AB | CM | Lucent Technologies, "Lucent Technologies Introduces Single-Fiber Network Access Devices for Voice, Video, and Data Services to the Home or Curb", June 6, 2000, pages 1-2. |
| AB | CN | Lucent Technologies, "Agere Systems Introduces Single-Fiber Network Access Devices for Voice, Video and Data Services to the Home or Curb", March 19, 2001, pages 1-3. |
| AB | CL | Optical Networks Daily, a Publication of Optical Keyhole, August 26, 2003, pages 1-13. |

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| AB ellh | 9/24/04 |

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|---------------------|----|-----------------|----------|---------------------------|-------|----------|-------------------------------|
| <i>JB</i> | AA | US 6,041,056 | 03/21/00 | BIGHAM, John A. et al. | — | — | |
| <i>JB</i> | AB | US 5,666,487 | 09/09/97 | GOODMAN, William et al. | — | — | |
| <i>JB</i> | AC | US 5,557,317 | 09/17/96 | NISHIO, Makoto et al. | — | — | |
| <i>JB</i> | AD | US 5,534,912 | 07/09/96 | KOSTRESKI, Bruce W. | — | — | |
| <i>JB</i> | AE | US 5,247,347 | 09/21/93 | LITTERAL, Larry A. et al. | — | — | |
| <i>JB</i> | AF | US 6,097,515 | 08/01/00 | POMP, Steve et al. | — | — | |
| <i>JB</i> | AG | US RE 35,774 | 04/21/98 | MOURA, Eduardo J. et al. | — | — | |

FOREIGN PATENT DOCUMENTS

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

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| 08286.105009 DIV | | Unassigned | June 23, 2003 |
| APPLICANT | | GROUP | |
| John J. Kenny | | | |
| OTHER PRIOR ART <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> | | | |
| AA | | "Trading Update and Operational Review Presentation" Marconi, September 4, 2001, pgs 1-35. | |
| AB | | "Cable Market" 2 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com | |
| AC | | "Communications" 2 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com | |
| AD | | "Dalton Utilities" 9 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com | |
| AE | | "Deep Fiber Solutions" 3 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com | |
| AF | | "Deep Fiber HFC Features and Benefits" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com | |
| AG | | "Deep Fiber HFC Network Diagram" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com | |
| AH | | "Deep Fiber HFC Product Overview: New FITL Configuration" 2 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com | |
| AI | | "Deep Fiber HFC Technical Specifications" 2 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com | |
| AJ | | "Deep Fiber HFC - New FITL Configuration" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com | |
| AK | | "En-Touch" at 5 pgs, Marconi Corporation, PLC, 2002 www.Marconi.com | |
| AL | | "Fiber to the Home" International Engineering Consortium (no date) pgs 1-10, available at www.iec.com. | |
| AM | | "Deep Fiber HFC" Marconi Corporation PLC 2000, pgs 1-2. | |
| AN | | "Integrated Voice, Video and Data Services Over a Single Fiber: A Compelling Opportunity for Cable Operators" Marconi Corporation PLC, May 2000, 6 pgs | |
| AO | | "Deep Fiber Solutions: Advanced Broadband Services" Marconi Corporation PLC, May 2000, 5pgs | |
| AP | | "Deep Fiber HFC: A Next-Generation Integrated Access Solution Offering Significant Improvement Over Traditional HFC Architectures" Marconi Corporation PLC, May 2000, 8pgs | |
| AQ | | "A Gold Mine of Opportunities in the Face of Increasing Competition: Choices for Utilities Entering the Communications Marketplace" Marconi Corporation PLC, May 2000, 6pgs | |
| AR | | "Fiber Optics on New Development MDUs: A Research Summary," Marconi Corporation PLC, May 2000 5pgs | |
| AS | | "Thermal Management Challenges: Small ONU Enclosures," Marconi Corporation PLC, May 2000, 9pgs. | |
| AT | | "Passive Optical Networks - Is There Light at the End of the Access Tunnel?" CIBC World Markets Inc., January 11, 2001, pgs 1-66. | |
| AU | | "36.2.4 8B/10B transmission code", IEEE 2000, pgs 966-969. | |
| AV | | G. Khoe et al., "Coherent Multicarrier Technology for Implementation in the Customer Access," IEEE, May/June 1993, pgs 695-713. | |
| EXAMINER | | DATE CONSIDERED | |
| Bello | | 9/26/04 | |
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| John J. Kenny | | | |
| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
| BA | | L. Linnell, "A Wide-Band Local Access System Using Emerging-Technology Components," IEEE, July 1986, pgs. 612-618 | |
| BB | | "Digital Broadband Delivery System: Out of Band Transport - Mode B," Society of Cable Telecommunications Engineers, Inc., August 10, 1998, 76 pgs. | |
| BC | | "Digital Broadband Delivery System: Out of Band Transport - Mode A," Society of Cable Telecommunications Engineers, Inc., August 26, 1998, 49 pgs. | |
| BD | | B. Gaglianella & P. Thompson, "An Efficient MAC Layer Protocol for EPON," IEEE 802.3 EFM November 2001, 9 pgs. | |
| BE | | "Policing and Shaping Overview" <i>Quality of Service Solutions Configuration Guide</i> , Cisco Systems, Inc. (No Date) | |
| BF | | Cisco IOS™ Software Quality of Service Solutions," Cisco Systems, Inc. 1998, 28 pgs | |
| BG | | International Search Report for PCT/US01/21298, 2 pgs., mailed June 17, 2002 | |
| BH | | International Search Report for PCT/US02/03056, 1 pg, mailed June 12, 2002 | |
| BI | | "Ethernet - Accelerating the Standard for Speed," 7 pgs, Gigabit Ethernet Alliance, November 2000 www.gigabit-ethernet.org | |
| BJ | | "eLuminant - Asynchronous Transfer Mode (ATM) Passive Optical Networks (PONS) Tutorial" 28 pgs, International Engineering Consortium, 2000 at www.iec.org | |
| BK | | International Search Report dated December 4, 2002 for PCT/US02/27398 | |
| BL | | International Search Report dated December 17, 2002 for PCT/US02/15861 | |
| BM | | Global Access™, Universal Services Gateway, USG100, ARRIS, pgs. 1-2, October 18, 2002. | |
| BN | | Global Access™, Universal Access Switch, UA4024, ARRIS, pgs. 1-2, August 28, 2002 | |
| BO | | Partial International Search Report dated 01/03/03 for PCT/US01/31032 | |
| BP | | International Search Report dated 04/22/03 for PCT/US01/50361 | |
| BQ | | L. Zhang et al., "Label-switching architecture for IP traffic over WDM networks", IEE Proc.-Commun., Vol. 147, No. 5, October 2000, pgs. 269-275. | |
| BR | | J. Masip-Tomé, et al., "Providing Differentiated Service Categories in Optical Packet Networks", Proceedings of the International Teletraffic Congress, 1999. | |
| BS | | ITC-16. Teletraffic Engineering in a Competitive World. Edinburgh, UK, June 7 - 11, 1999, Teletraffic Science and Engineering, Amsterdam: Elsevier, NL, Vol. 3B, June 7, 1999, pgs. 1115-1126 | |
| BT | | J. Barnister et al., "How Many Wavelengths Do We Really Need? A Study of the Performance Limits of Packet Over WaveLengths", Optical Networks Magazin, SPIE, Bellingham, WA, Vol. 1, No. 2, April 2000, pgs. 17-28 | |
| BU | | O. W.W. Yang, et al., "All-Optical WDM/TDM Star-Bus Network Using Optical Amplifiers", Journal of Optical Communications, Fachverlage Schiele & Schon, Berlin, DE, Vol. 16, No. 6, December 1, 1995, pgs. 216-226 | |
| BV | | Walter Ciciora et al., "Modern Cable Television Technology: Video, Voice, and Data Communications", © 1999 by Morgan Kaufman Publishers, Inc., pgs. 167-176 | |
| BW | | International Search Report dated 04/21/03 for PCT/US02/28734 | |
| BX | | Written Opinion dated May 6, 2003 for PCT/US01/21298 | |
| EXAMINER | | DATE CONSIDERED | |
| [Signature] | | 9/24/04 | |
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|---------------------|----|-----------------|----------|----------------|-------|----------|-------------------------------|
| <i>AB</i> | CA | 5,559,858 | 09/24/96 | Beveridge | | | |
| <i>AB</i> | CB | 6,460,182 | 10/01/02 | BuAbbud | | | |
| <i>AB</i> | CC | 6,427,035 | 07/30/02 | Mahony | | | |
| <i>AB</i> | CD | 5,349,457 | 09/20/94 | Bears | | | |
| <i>AB</i> | CE | 6,295,148 | 09/25/01 | Atlas | | | |
| <i>AB</i> | CF | 5,325,223 | 06/28/94 | Bears | | | |
| <i>AB</i> | CG | 6,385,366 | 05/07/02 | Lin | | | |
| <i>AB</i> | CH | 2001/002195 | 05/31/01 | Fellman et al. | | | |
| <i>AB</i> | CI | 20010002196 | 05/31/01 | Fellman et al. | | | |
| <i>AB</i> | CJ | 20010030785 | 10/18/01 | Pangrac et al. | | | |
| <i>AB</i> | CK | 20020039218 | 04/04/02 | Farmer et al. | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | NAME | TRANSLATION YES NO. | |
|-----------|----|-----------------|----------|---------|-------------------------|------------------------|--|
| <i>AB</i> | CL | EP0720322 A2 | 07/03/96 | Europe | ALCATEL N.V. | | |
| <i>AB</i> | CM | WO 01/27940 A2 | 04/19/01 | PCT | OPTICAL SOLUTIONS, INC. | | |
| <i>AB</i> | CN | WO 02/030019 A3 | 04/11/02 | PCT | WAVE7 OPTICS, INC. | | |
| <i>AB</i> | CO | WO 02/30020 A2 | 04/11/02 | PCT | WAVE7 OPTICS, INC. | | |
| <i>AB</i> | CP | WO 02/060123 A2 | 08/01/02 | PCT | WAVE7 OPTICS, INC. | | |
| <i>AB</i> | CQ | | | | | | |
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| <i>B</i> | DA | 4,655,517 | 05/11/87 | Widmer | <i>1</i> | <i>1</i> | |
| <i>B</i> | DB | 4,975,899 | 12/04/90 | Faulkner | <i>1</i> | <i>1</i> | |
| <i>B</i> | DC | 5,572,348 | 11/05/96 | Carlson et al. | <i>1</i> | <i>1</i> | |
| <i>B</i> | DD | 5,880,864 | 03/09/99 | Williams et al. | <i>1</i> | <i>1</i> | |
| <i>B</i> | DE | RE37,125 | 04/03/01 | Carlson et al. | <i>1</i> | <i>1</i> | |
| <i>B</i> | DF | 6,356,369 | 03/12/02 | Farhan | <i>1</i> | <i>1</i> | |
| <i>B</i> | DG | 5,249,19 | 09/28/93 | Sakanushi | <i>1</i> | <i>1</i> | |
| <i>B</i> | DH | 5,412,498 | 05/02/95 | Arstein et al. | <i>1</i> | <i>1</i> | |
| <i>B</i> | DI | 6,151,343 | 11/21/00 | Jurgensen | <i>1</i> | <i>1</i> | |
| <i>B</i> | DJ | 5,802,089 | 09/01/98 | Link | <i>1</i> | <i>1</i> | |
| <i>B</i> | DK | 6,097,159 | 08/01/00 | Mogi et al. | <i>1</i> | <i>1</i> | |
| <i>B</i> | DL | 5,778,017 | 07/07/98 | Sato et al. | <i>1</i> | <i>1</i> | |
| <i>B</i> | DM | 5,706,303 | 01/06/98 | Lawrence | <i>1</i> | <i>1</i> | |
| <i>B</i> | DN | 5,345,504 | 09/06/94 | West, Jr. | <i>1</i> | <i>1</i> | |
| <i>B</i> | DO | 5,528,582 | 06/18/96 | Bodeep et al. | <i>1</i> | <i>1</i> | |
| <i>B</i> | DP | 5,892,865 | 04/06/99 | Williams | <i>1</i> | <i>1</i> | |
| <i>B</i> | DQ | 5,861,966 | 01/19/99 | Ortel | <i>1</i> | <i>1</i> | |

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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|------------------|----------|-------------------|-------|----------|-------------------------------|
| <i>B</i> | EA | 2002/0021465 | 02/21/02 | Moore, Jr. et al. | — | — | |
| <i>B</i> | EB | 5,510,921 | 04/23/96 | Takai et al. | — | — | |
| <i>B</i> | EC | 5,701,186 | 12/23/97 | Huber | — | — | |
| <i>B</i> | ED | 6,546,014 | 04/08/03 | Kramer et al. | — | — | |
| <i>B</i> | EE | 2003/00903020 A1 | 05/15/03 | Skrobko et al. | — | — | |
| <i>B</i> | EF | 2001/0002486 A1 | 05/31/01 | Kocher et al. | — | — | |
| <i>B</i> | EG | 5,469,507 | 11/21/95 | Canetti et al. | — | — | |
| <i>B</i> | EH | 2002/0164026 A1 | 11/07/02 | Huima | — | — | |
| <i>B</i> | EI | 5,179,591 | 01/12/93 | Hardy et al. | — | — | |
| <i>B</i> | EJ | 6,360,320 B2 | 03/19/02 | Ishiguro et al. | — | — | |
| <i>B</i> | EK | 5,875,430 | 02/23/99 | Koether | — | — | |
| <i>B</i> | EL | 4,763,317 | 08/09/88 | Lehman et al. | — | — | |
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